

政策執行與評估

(講授大綱)

授課教授：柯三吉 博士

授課時間：每週四下午 3：00—5：00

授課年級：公共事務管理學系碩士班二年級

開課學期：九十五年九月

討論時間：另行約定（請電分機 6020）



授課目標

本科目旨在探討公共政策的執行和評估理論、研究途徑和概念基礎、期藉對西方（尤其是美國）政策執行和評估理論的引介和批判，以研究我國的政策執行和評估的過程和問題，而促進國家的行政發展。

教學用書

1. 柯三吉，政策執行：理論與台灣經驗 (1990)
2. 柯三吉，公共政策：理論、方法與台灣經驗 (1998)
3. 柯三吉，跨向二十一世紀公共行政新典範：台灣經驗的個案分析（上、下） (1998)
4. 李允傑、丘昌泰，政策執行與評估 (1999)
5. Dennis J. Palumbo and Donald J. Calista (ed.) Implementation and The Policy Process (1990)
6. Jeffrey L. Pressman & Aaron Wildavsky, implementation (1984)
7. David Marsh, Comparing Policy Networks (1998)
8. Malcolm L. Goggin et. al. Implementation Theory and Practice: Toward A Third Generation (1990)
9. Peter H. Rossi, Howard E. Freeman and Mark W. Lipsey

Evaluation: A Systematic approach (1999)

- 10 · David M. Fetterman , Foundations of Empowerment Evaluation (2001)
- 11 · Michael Q. Patton, Utilization-Focused Evaluation: The New Century Text (1997)
- 12 · Ian Shaw, Qualitative Evaluation (1999)

成績考核

平時作業 30%

期末報告 70%

授課內容

- 1 · 緒論：政策執行和評估研究途徑的發展趨勢

Peter Deleon, “The Missing Link Revisited: Contemporary Implementation Research,” Policy Studies Review, (Fall/Winter 1999):311-339

柯，政策執行，第二章

柯，公共政策，頁 194-213

Jill Schofield and Charlotte Sausman, “Symposium On Implementing Public Policy: Learning From Theory And Practice,” Public Administration. Vol. 82, No.2, 2004 (235-329)

Rossi, Freeman and Lipsey, Ch. 1

Patton, Ch.2

柯，公共政策，頁 229-255。

- 2 · 由上而下研究途徑

Peter C. Bishop and Augustus J. Jones, “ Implementing The Americans with Disabilities Act of 1990 : Assessing The Variables of Success,” Public Administration Review, 53 (Mar/Apr 1993) : 121-128.

Pressman & Wildavsky, Implementation (1984)

Ernest R. Alexander, "From Idea to Action: Notes For a Contingency Theory of The Policy Implementation Process," Administration & Society (Feb 1985)

K.J.Meier and D.R.McFarlane, "Statutory Coherence and Policy Implementation: The Case of Family Planning," Journal of Public Policy (S/D 1996): 281-298.

3 · 由下而上研究途徑

Helen Ingram, "Implementatin : A Review and Suggested Framework," In Lynn and Wildavsky (eds.) Public Administration (1990)

Angela Browne and aaron Wildavsky, "Implementation as Mutual Adoption," in Pressman and Wildvsky, Op. Cit.

Benny Hjern and Chris Hull, "Implementation Research As Empirical Constitutionalism," European Journal of Political Research (1982), "Small Firm Employment Creation: An Assistance Structure Explanation" in Hanf and Toonen, Op. Cit.

4 · 整合研究途徑

Hajime Sato, "The advocacy Coalition Framework and The Policy Process Analysis: The Case of Smoking Control in Japan," Policy Studies Journal Vol. 27, No.1 (1999) : 28-44

Paul A.Sabatier, " Top-Down and Bottom-Up Approaches to Implementation Research : A Critical Analysis and Suggested Synthesis," Journal of Public Policy (Jan-Mar 1986)

Helen Ingram, "Implementation : A Review and Suggested Framework ," in Naomi B. Lynn and Aaro Wildavsky (eds.) Public Administration (1990)

5 · 政策網絡與政策執行

David Marsh, Part One 4 Conclusion.

Derick W. Brinkerhoff, “State-Civil Society Networks For Policy Implementation in Developing Countries.” Policy studies Review, (Spring 1999) : 123-147

Hugh Pemberton, “Policy Networks and Policy learning : UK Economic Policy in the 1960s and 1970s,” Public Administratin, Vol. 78, No.4 (2000) : 771-792

6 · 規劃評估：目標、邏輯、過程和方法

Rossi, Freeman and Lipsey, Ch. 2-5

Fetterman, Kaftarian & Wandersman, Empowerment Evaluation.

Hans Torvatn “Using Program Theory Models in Evaluation of Industrial Modernization Programs : Three Case Studies.” Evaluation and Program Planning 22 (1999) : 73-82

Johanna D. Birckmayer and Carol H. Weiss, “ Theory-Based Evaluation In Practice: What Do We Learn?” Evaluation Review, Vol. 24, No.4 (Aug 2000) : 407-431

J. Gaber, “Meta-Needs Assessment.” Evaluation and Program Planning, 23 (2000) : 139-147

7 · 執行評估：理論和方法

Rossi, Freeman and Lipsey, Ch.6

Dunn, Public Policy Analysis, Ch.8

Richard W. Waterman and B. Dan Wood , “Policy Monitoring and Policy Analysis,” Jounal of Policy Analysis and Management. Vol. 12, No.4 (1993) : 685-699

Pennis J. Paiumbo and Steven Maynard—Moody and Paula Wright, “ Measuring Degrees of Sucessful Implementation : Achieving

Policy Versus statutory Goals,” Evaluation Review, Vol.8, No.1
(Feb. 1984) : 45-74.

8 · 結果評估：效益評估的理論和方法

Rossi, Freeman and Lipsey, Ch.11

Stuart S. Nagel, “Nonmonetary Variables in Benefit—Cost
Evaluation,” Evaluation Review, Vol. 6, No.3 (June 1982) :
301-372.

Pickerton, John-Masotti, Derse and Layde, “Ethical Issues in
Cost-effectiveness Analysis,” Evaluation and Program Planning ,
25 (2002) : 71-83.

9 · 結果評估：影響評估的理論和方法

Rossi, Freeman and Lipsey, Ch.7-10.

Marry E. Poulin, Philip W. Harris and Peter R. Jones.

“The Significance of Definitions of success in Program Evaluation.”
Evaluation Review, 24, 3, (Oct. 2000) 516-536.

Judith Innes De Neufville, “Validating Policy Indicators“, Policy
Sciences. Vol. 10, No.2&3 (Dec. 1978) : 171-188

Manski, Newman and Pepper, “Using Perform Standards to Evaluate
Social Programs With Incomplete Outcome Data,” Evaluation
Review, 264 (Aug 2002), 355-381.

10 · 第四代政策評估理論和方法

Guba and Lincoln, Ch. 4-9

Patton S. Reichardt and Thomas D. Cook, “Beyond Qualitative
Versus Quantitative Methods,” in Thonas D. Cook and Charles S.
Reichardt (ed.), Qualitative and Quantitative Methods in Evaluatin
Research (Beverly Hills, Calif. : Sage, 1979) : 7-32.

11 · 運用取向、知識應用與評估結果

Patton, Ch.3-5

Rossi and Freeman, Ch.12

R. Burke Johnson, "Toward A Theoretical Model Of Evaluation Utilization," Evaluation and Program Planning , 21 (1998) : 93-110.

William N. Dunn, "Explaining Policymakers Use of Policy Information", Knowledge : Creation, Diffusion, Utilization, Vol. 8, No.4 (Mar. 1986) : 249-290.

Ian Sanderson, "Evaluation, Policy Learning and Evidence-Based Policy Making," Public Administration, 80, 1 (2002) : 1-22.

政策執行與評估授課進度表

1. 第一週	(09/28)	續論（政策執行）
2. 第二週	(10/05)	由上而下途徑
3. 第三週	(10/12)	由上而下途徑
4. 第四週	(10/19)	由上而下途徑
5. 第五週	(10/26)	由下而上途徑
6. 第六週	(11/02)	整合途徑
7. 第七週	(11/09)	整合途徑
8. 第八週	(11/16)	第四代執行現論
9. 第九週	(11/23)	第四代執行現論
10. 第十週	(11/30)	續論（政策評估）
11. 第十一週	(12/07)	規劃評估
12. 第十二週	(12/14)	規劃評估
13. 第十三週	(12/21)	執行評估
14. 第十四週	(12/29)	執行評估
15. 第十五週	(01/05)	結果評估
16. 第十六週	(01/12)	結果評估
17. 第十七週	(01/19)	個案報告
18. 第十八週	(01/25)	個案報告